SEC Form 4
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Nume and Address of Reporting Ferson				ier Name <b>and</b> Ticke <u>Global, Inc.</u>		ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Bouzarif Ali (Last) (First) (Middle) C/O ALTI GLOBAL, INC. 520 MADISON AVENUE, 21ST FLOOR (Street) NEW YORK NY 10022						X	Director	10% C	Dwner			
				e of Earliest Transa 7/2023	ction (Month/D	ay/Year)		Officer (give title below)	Other below)	(specify )		
1	1 - C	ST FLOOR	4. If Ai	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	ridual or Joint/Group	Filing (Check A	Applicable		
		orrecon					X	Form filed by One	e Reporting Pers	son		
1 · /	NV	10022						Form filed by Mor Person	e than One Rep	oorting		
			Duk	Rule 10b5-1(c) Transaction Indication								
			Ruie	= 1002-1(c)	Inditsacti	Un muication						
Bouzarif Ali (Last) (First) (Middle) C/O ALTI GLOBAL, INC. 520 MADISON AVENUE, 21ST FLOOR Street) NEW YORK NY 10022 (City) (State) (Zip) Table I - Non-E			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.									
		Table I - Non-	Derivative S	ecurities Acq	uired, Disp	osed of, or Benefi	cially	Owned				
1. Title of Security	(Instr. 3)	2	. Transaction	2A. Deemed	3.	4. Securities Acquired (A	) or	5. Amount of	6. Ownership	7. Nature		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dis Code (Instr. 5)		Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)		Reported Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Stock	06/07/2023		Α		16,258 <sup>(1)</sup>	Α	(1)	748,298	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrant	(1)	06/07/2023		D			65,033	(2)	(2)	Class A Common Stock	65,033	(1)	0	D	

Explanation of Responses:

1. On June 7, 2023, pursuant to the Issuer's previously announced exchange offer, the reporting person exchanged 65,033 private placement warrants, which previously entitled the reporting person to purchase one share of Class A Common Stock, par value \$0.0001 per share, of the Issuer ("Class A Common Stock") per warrant, at a price of \$11.50 per share, for 16,258 shares of Class A Common Stock.

2. The warrants became exercisable on February 2, 2023, and will expire on January 3, 2028 or earlier upon redemption or liquidation.

**Remarks:** 

## /s/ Kevin Moran, Attorney-in-06/09/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<u>fact</u> \*\* Signature of Reporting Person

Date