

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): March 22, 2024

ALTi Global, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation)

001-40103
(Commission
File Number)

92-1552220
(I.R.S. Employer
Identification No.)

520 Madison Avenue, 26th Floor New York, New York
(Address of principal executive offices)

10022
(Zip Code)

(212) 396-5904
(Registrant's telephone number, including area code)

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Class A common stock, par value \$0.0001 per share	ALTI	Nasdaq Capital Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On March 22, 2024, ALTi Global, Inc. (the “Company”) announced that the Company promoted Kevin Moran to the role of President, adding to his current role of Chief Operating Officer of the Company, effective immediately.

Kevin Moran, 46, has served as the Chief Operating Officer of the Company since January 2023. Mr. Moran began his career with Tiedemann Advisors, LLC and served as the Chief Operating Officer and General Counsel of Tiedemann Wealth Management Holdings, LLC, Tiedemann Advisors, LLC, and Tiedemann Trust Company since September 2017. He previously was a member of the Executive Committee and the Chairman of the New Business Acceptance Committee for Tiedemann Advisors. Mr. Moran previously managed Tiedemann Advisors, LLC’s Finance, Operations, Client Service, Technology, Legal, Compliance, Human Resources and Extended Family Office Services teams, and oversaw M&A activity for Tiedemann Wealth Management Holdings, LLC. Prior to joining Tiedemann Advisors, LLC, from October 2004 to April 2008, Mr. Moran was Associate General Counsel and Chief Compliance Officer of FRM Americas, LLC, a subsidiary of Financial Risk Management. From September 2002 to October 2004, he was an associate in the financial service group of the law firm Katten Muchin Rosenman LLP. Mr. Moran earned a Juris Doctor degree from Boston University School of Law and received a Bachelor of Arts degree from Loyola University.

In connection with Mr. Moran’s promotion, no changes were made to his employment agreement. A description of Mr. Moran’s employment agreement is included under “Executive Officer and Director Compensation ” in the Company’s definitive proxy statement for the 2023 annual meeting of stockholders filed with the Securities and Exchange Commission on May 18, 2023, which description is incorporated herein by reference.

No family relationship exists between Mr. Moran and any of the Company’s directors or executive officers. There are no arrangements or understandings between Mr. Moran and any other person pursuant to which Mr. Moran was selected as an officer of the Company, nor are there any transactions to which the Company is or was a participant and in which Mr. Moran had or will have a direct or indirect material interest subject to disclosure under Item 404(a) of Regulation S-K.

A copy of the related press release, which the Company issued on March 22, 2024, is attached as Exhibit 99.1 hereto.

Item 9.01 Financial Statements and Exhibits.

The following exhibits are being filed herewith:

Exhibit No.	Description
99.1	AITi Global, Inc.'s press release, dated March 22, 2024.
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 22, 2024

ALTI GLOBAL, INC.

/s/ Michael Tiedemann

Michael Tiedemann

Chief Executive Officer



AITi Tiedemann Global Announces Promotion of COO, Kevin Moran to President

NEW YORK, NY, DATE 2024 – AITi Global, Inc. (NASDAQ: ALTI), a leading independent global wealth and alternatives manager with over \$70 billion in combined assets, announces an update on its leadership team structure. This is intended to optimize AITi’s management structure to ensure the business is best placed to capitalize on the compelling growth opportunity ahead, in particular following the recently announced strategic investment of up to \$450 million from Allianz X and Constellation Wealth Capital.

Chief Operating Officer, Kevin Moran is promoted to the role of President with immediate effect, reporting to CEO, Michael Tiedemann. Kevin will oversee AITi’s Wealth Management and Strategic Alternatives businesses while retaining his COO role.

Prior to the merger that created AITi, Kevin was Chief Operating Officer at Tiedemann Advisors, LLC, where he worked for close to 15 years. Prior to that, he held positions at FRM Americas LLC, most recently as Chief Compliance Officer and Associate General Counsel.

Michael Tiedemann, CEO of AITi, commented:

“I am thrilled to announce this change to optimize AITi’s management structure at a time of tremendous growth and opportunity for the firm. I extend huge thanks to Kevin for his leadership to date. This change allows me to dedicate more of my time to strategic external matters and I look forward to continuing to work with Kevin on AITi’s exciting future.”

About AITi

AITi is a leading independent global wealth and alternatives manager providing entrepreneurs, multi-generational families, institutions, and emerging next-generation leaders with fiduciary capabilities as well as alternative investment strategies and advisory services. The firm currently manages or advises on over \$70 billion in combined assets and has an expansive network with approximately 480 professionals across three continents. For more information, please visit us at www.alti-global.com.

Contacts

Investor Relations:

Lily Arteaga, Head of Investor Relations
investor@alti-global.com

Media Relations:

Alex Jorgensen, pro-alti@prosek.com