

## ALVARIUM TIEDEMANN HOLDINGS, INC.

### CORPORATE GOVERNANCE GUIDELINES

The Board of Directors (the “Board”) of Alvarium Tiedemann Holdings, Inc. (the “Company”) has adopted these corporate governance guidelines to assist and guide the Board in the exercise of its responsibilities. These guidelines should be interpreted in accordance with any requirements imposed by applicable federal or state law or regulation, the Nasdaq Stock Market LLC (“Nasdaq”) and the certificate of incorporation and bylaws of the Company. The Board may review and amend these guidelines from time to time.

#### I. DIRECTOR CRITERIA AND SELECTION

- A. **Director Criteria:** The Board shall consider and approve from time to time the criteria that it deems necessary or advisable for Director candidates. The Board shall have full authority to modify such criteria from time to time as it deems necessary or advisable.

The Board has delegated to the Environmental, Social, Governance and Nominating Committee (the “ESGN Committee”) the responsibility for developing and recommending to the Board for its consideration and approval criteria for Director candidates. The ESGN Committee has adopted policies and procedures for Director candidates and will recommend to the Board from time to time such criteria for its consideration and approval. The current policies and criteria for Director candidates are set forth in the ESGN Committee charter. The Board may, however, rescind its delegation and assume the responsibilities it previously delegated to the ESGN Committee.

- B. **Process For Identifying and Selecting Directors:** The Board has delegated to the ESGN Committee the responsibility to identify candidates for nomination to the Board (including candidates to fill vacancies) and assessing their qualifications in light of the policies and principles in these guidelines and the ESGN Committee’s charter. The ESGN Committee will recommend Director candidates for the Board’s consideration and review the candidates’ qualifications with the Board. The Board shall retain the authority to nominate a candidate for election by the stockholders as a Director and to fill vacancies.

In identifying Director candidates, the ESGN Committee may consider all facts and circumstances it deems appropriate, including, among other things, the skills of the candidate, his or her depth and breadth of business experience and other background characteristics, his or her independence and the needs of the Board.

- C. **Independence:** At least a majority of the members of the Board shall meet the independence requirements set forth in the Nasdaq Stock Market Rules.

The Board will periodically evaluate all relationships between the Company and each independent director for the purposes of determining whether a material relationship exists that might represent a potential conflict of interest or otherwise interfere with the director’s ability to satisfy his or her responsibilities as an independent director.

- D. **Limit on Number of Other Boards:** Performing the duties and fulfilling the responsibilities of a Director require a significant commitment of time and attention. The Board does not believe, however, that explicit limits on the number of other boards of directors on which a Director sits, or on other activities a Director pursues, are appropriate. The Board, however, recognizes that excessive time commitments can interfere with a Director's ability to perform and fulfill his or her duties and responsibilities effectively. The ESGN Committee will consider whether the performance of a Director has been or is likely to be adversely affected by excessive time commitments, including service on other boards of directors. Directors must notify the Chair of the ESGN Committee before accepting a seat on the board of directors of another business corporation so that the potential for conflicts or other factors compromising the Director's ability to perform or fulfill his duties and responsibilities may be fully assessed by the Board.
- E. **Age and Term Limits:** Any non-employee Director of the Company who reaches his or her 75<sup>th</sup> birthday while serving as a Director will be required to resign from the Board as of the next Annual Meeting of Stockholders of the Company following such Director's 75<sup>th</sup> birthday. Additionally, no non-employee Director will be eligible for election following the completion of such Director's 15<sup>th</sup> term as a Director.
- F. **Succession:** The ESGN Committee shall be responsible for developing succession plans for the Board.

## II. DIRECTOR RESPONSIBILITIES AND COMPENSATION

- A. **Role of Directors:** The business and affairs of the Company are managed by or under the direction of the Board, acting on behalf of the stockholders. The Board has delegated to the officers of the Company the authority and responsibility for managing the Company's everyday affairs. The Board has an oversight role and is not expected to perform or duplicate the tasks of the Chief Executive Officer (the "CEO") or senior management.
- B. **Attendance at Meetings:** Each member of the Board is expected to make reasonable efforts to attend meetings of the Board, whether regular or special. If a Director does not attend at least 75% of the Board's regular or special meetings (together with the meetings of committees on which the Director serves), the Company will be required to disclose that fact in its annual proxy statement. In addition, attendance and participation at meetings is an important component of the Directors' duties and, as such, attendance rates will be taken into account by the ESGN Committee in assessing Directors for renomination as Directors.
- C. **Time Commitment; Advance Distribution and Review of Materials:** Directors are expected to spend the time needed and meet as frequently as the Board deems necessary or appropriate to discharge their responsibilities. Senior management is responsible for distributing in advance to Directors information important to the Board's understanding of the business to be conducted at a Board or Committee meeting. Directors should review these materials before the meeting.

- D. **Non-Employee Director Compensation:** The Human Capital and Compensation Committee (the “Compensation Committee”) will periodically review the form and amount of non-employee Director compensation, and make recommendations about such compensation to the Board. The Company’s executive officers shall not receive additional compensation for their service as Directors.

### III. BOARD STRUCTURE AND PROCEDURES

- A. **Size of Board:** The Board reserves the right at any time to increase or decrease its size, subject to any provisions in the Company’s certificate of incorporation and bylaws, depending on the Board’s assessment of its needs and other factors. The size of the Board may vary based upon the size of the business and the availability of qualified candidates. Board size should facilitate active interaction and participation by all Board members. The Board will review from time to time the appropriateness of its size. The size of the Board, as determined by resolution of the Board, will constitute the maximum size of the Board at such time.
- B. **Board Leadership:** The Board shall fill the positions of Chair and CEO based upon its view of what is in the best interests of the Company. The Chair and CEO may, but need not be, the same person. Should the position of Chair and CEO be filled by the same person, the Board shall appoint a independent Director to serve as the Lead Director.
- C. **Committees:** The Board intends at all times to have an Audit, Finance and Risk Committee (the “Audit Committee”), a Compensation Committee, and ESGN Committee. Each of these committees will have a written charter that sets forth its responsibilities and qualifications for committee membership. The Board may from time to time establish additional committees as it deems appropriate. Membership on the Audit, Compensation and ESGN Committees is limited to independent directors meeting the independence requirements of the Nasdaq Stock Market Rules, the Sarbanes-Oxley Act of 2002 and any other applicable rules or regulations of the Securities and Exchange Commission and the Internal Revenue Service. The Board retains discretion to form new committees or disband current committees depending upon the circumstances.
- D. **Executive Sessions:** The independent Directors will meet at regularly scheduled executive sessions without management participation, and at least quarterly the independent Directors alone will meet in executive session. If the Chair is an independent director, then the Chair will preside at these meetings. If the Chair is not an independent director, then the Lead Director will preside at these meetings. To permit interested parties to make their concerns known to the independent Directors, the Company will also disclose a method by which they can communicate directly and confidentially with the Chair or the Lead Director, as applicable, or with the independent Directors as a group.
- E. **Director Access to Management and Independent Advisers:** In performing its responsibilities, the Board, and each committee, shall be entitled to rely on the advice and information it receives from management and the experts, advisers and professionals whom the Board, or a committee, may consult. The Board and each committee shall have

the authority to request that any officer or employee of the Company, the Company's outside legal counsel, the Company's independent auditor or any other professional retained by the Company to provide advice to the Company, attend a meeting of the Board or committee or meet with any members of or advisers to the Board. The Board or any committee shall also have the authority to engage legal, accounting or other advisers to provide advice and information it deems appropriate to carry out its responsibilities.

- F. **Directors Who Change Job Responsibility:** The Board does not believe Directors who retire or change their principal occupation or business association should necessarily leave the Board. However, promptly following any such event, the Director should notify the ESGN Committee, so that it can review and advise the Board regarding the continued appropriateness of the Director's Board membership.
- G. **Director Orientation and Continuing Education:** The Company will conduct an orientation program for each new Director. The orientation will be designed to familiarize the new Director with the Company's business and strategic plans, key policies and practices, principal officers and management structure, auditing and compliance processes and its code of business conduct and ethics. The ESGN Committee will be responsible for facilitating the ongoing professional development of all Directors, including periodically providing materials or briefing sessions for continuing Directors on topics that will assist them in discharging their duties.
- H. **Management Succession:** The ESGN Committee shall be responsible for developing a CEO succession plan for consideration by the Board and reporting on the plan to the Board.

#### IV. OTHER CORPORATE GOVERNANCE MATTERS

- A. **Annual Performance Evaluations of the Board and Committees:** The Board will conduct a self-evaluation at least annually for the purpose of determining whether it and its committees are functioning effectively, and each committee of the Board will conduct a self-evaluation at least annually for the purpose of determining whether it is functioning effectively. The ESGN Committee will oversee the evaluation process.
- B. **Communications with Outside Interested Parties:** The Board believes that management should be responsible for communications with the press, media and other outside parties on behalf of the Company, although individual Board members may, at the request of management or the Board, communicate with outside parties on behalf of the Company.
- C. **No Limitation on Other Rights:** These guidelines are not intended to modify, eliminate or in any other manner limit the indemnification, exculpation and similar rights available to the Directors of the Company under applicable law and/or the Company's certificate of incorporation and/or its bylaws.
- D. **Modifications to Guidelines:** Although these guidelines have been approved by the Board, they may evolve over time as customary practice and legal requirements change. In particular, guidelines that reflect legal, regulatory or exchange requirements as they

currently exist will be deemed to be modified as and to the extent those requirements change. In addition, the guidelines may be amended by the Board at any time as it deems appropriate.