

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

Check this box to indicate that a
transaction was made pursuant to a
contract, instruction or written plan for
the purchase or sale of equity securities
of the issuer that is intended to satisfy
the affirmative defense conditions of
Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person * <u>ALLIANZ SE</u>			2. Issuer Name and Ticker or Trading Symbol <u>AlTi Global, Inc. [ALTI]</u>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director ☒ 10% Owner Officer (give title below) ☐ Other (specify below) ☐	
(Last) (First) (Middle) <u>C/O MICHAEL SIEBURG</u> <u>KOENIGINSTRASSE 28</u>			3. Date of Earliest Transaction (Month/Day/Year) <u>07/02/2026</u>		6. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person	
(Street) <u>MUNICH</u> <u>2M</u> <u>80802</u>			4. If Amendment, Date of Original Filed (Month/Day/Year)			
(City) (State) (Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
<u>Class A Common Stock</u>	<u>07/02/2026</u>		<u>J</u> ⁽¹⁾⁽²⁾		<u>1,272,328.52</u>	<u>A</u>	<u>(1)(2)</u>	<u>22,979,542.48</u>	<u>I</u>	<u>By Allianz Strategic Investments S.a.r.l.</u> ⁽¹⁾⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
<u>Series A Preferred Stock</u>	<u>\$8.7</u>	<u>07/02/2026</u>		<u>J</u> ⁽⁵⁾		<u>4,117.76</u>	<u>(3)</u>	<u>(3)</u>	<u>Class A Common Stock</u>	<u>(4)</u>	<u>(1)(2)</u>	<u>173,051.67</u> ⁽⁵⁾	<u>I</u>	<u>By Allianz Strategic Investments S.a.r.l.</u> ⁽⁵⁾
<u>Warrant to Purchase Class A Common Stock</u>	<u>\$7.4</u>						<u>(6)</u>	<u>07/31/2029</u>	<u>Class A Common Stock</u>	<u>5,000,000</u>		<u>1</u> ⁽⁶⁾	<u>I</u>	<u>By Allianz Strategic Investments S.a.r.l.</u> ⁽⁷⁾

Explanation of Responses:

1. Allianz Strategic Investments S.a.r.l. ("ASI") acquired 19,318,580.96 shares of Class A Common Stock, par value \$0.0001 per share ("Class A Common Stock") of AlTi Global, Inc., a Delaware corporation (the "Issuer") in a private placement on July 31, 2024. ASI received shares of Class A Common Stock as payment-in-kind dividends with respect to shares of Series A Cumulative Convertible Preferred Stock (the "Series A Preferred Stock") of the Issuer held by ASI as follows: 1,523,289 shares on June 30, 2025, 865,344 shares on January 5, 2026 and 1,272,328.52 shares on July 2, 2026. Holders of the Series A Preferred Stock are entitled to a cumulative dividend at the rate of 9.75% per annum, payable semi-annually in arrears. ASI is wholly owned by Allianz Finance II Luxembourg S.a.r.l., which in turn is wholly owned by the reporting person.
2. (Continued from footnote 1) The shares of Class A Common Stock are held directly by ASI. The reporting person is the ultimate parent company of ASI and has sole voting and dispositive power with respect to the shares held by ASI.
3. The shares of the Series A Preferred Stock of the Issuer are convertible into shares of Class A Common Stock (i) by the reporting person at any time after July 31, 2026 and (ii) by the Issuer at any time after July 31, 2027, subject to certain conditions; provided, that the Series A Preferred Stock is subject to a beneficial ownership cap that prohibits the conversion of the Series A Preferred Stock into shares of Class A Common Stock to the extent such conversion would cause ASI's beneficial ownership, together with its affiliates, to exceed 24.9% (the "Ownership Cap"); provided, further, that if such a conversion would cause ASI's beneficial ownership, together with its affiliates, to exceed the Ownership Cap, the Issuer shall issue to ASI shares of the Issuer's Class C Non-Voting Common Stock, in lieu of shares of Class A Common Stock. The Series A Preferred Stock has no stated maturity and therefore has no expiration date.
4. The Series A Preferred Stock has a stated value of \$1,000 per share and is convertible into shares of Class A Common Stock at a conversion price of \$8.70, subject to customary adjustments.
5. ASI acquired 140,000 and 18,471 shares of the Series A Preferred Stock in private placements on July 31, 2024 and May 13, 2025, respectively, and ASI received shares of the Series A Preferred Stock as payment-in-kind dividends with respect to shares of Series A Preferred Stock held by ASI as follows: 6,443.13 shares on June 30, 2025, 4,019.78 shares on January 5, 2026 and 4,117.76 shares on July 2, 2026. Holders of the Series A Preferred Stock are entitled to a cumulative dividend at the rate of 9.75% per annum, payable semi-annually in arrears. The shares of Series A Preferred Stock are held directly by ASI.
6. Subject to the Ownership Cap, the Warrant to purchase Class A Common Stock (the "Warrant") is immediately exercisable for up to 5,000,000 shares of Class A Common Stock at an exercise price of \$7.40, subject to customary adjustments.
7. The Warrant was acquired in a private placement on July 31, 2024 and is held directly by ASI.

/s/ Michael Sieburg, Authorized 07/02/2026
Signatory

/s/ Petra Maerkl, Authorized 07/02/2026
Signatory

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.